# OFFICIAL REPORT OF PROCEEDINGS BEFORE THE NATIONAL LABOR RELATIONS BOARD

In the Matter of:

Case Nos. 16-CA-296159, 16-CA-296622, 16-CA-297588,

16-CA-297947, 16-CA-302607 & 16-CA-300212

STARBUCKS CORPORATION,

and

WORKERS UNITED, affiliated with SERVICE EMPLOYEES INTERNATIONAL UNION.

Place: Fort Worth, Texas Date: January 9, 2023 Pages: 1 through 29 Volume: 1 of 1

OFFICIAL REPORTERS

## ARS REPORTING

22052 West 66<sup>th</sup> Street, Suite 314 Shawnee, Kansas 66226 (913) 422-5198

1	UNITED STATES OF A	AMERICA	
2	BEFORE THE NATIONAL LABOR REGION 16	RELATIONS	BOARD
3 4	REGION 16		
5	In the Matter of:		
6 7	STARBUCKS CORPORATION,	 	
8	STANDOCKO CORTOTATION,		
9	1		16 07 006150
10 11	and	Case No 	16-CA-296159 16-CA-296622
12		! 	16-CA-297588
13	WORKERS UNITED, affiliated with	İ	16-CA-297947
14	SERVICE EMPLOYEES INTERNATIONAL		16-CA-302607
15	UNION.		16-CA-300212
16 17	The above-titled matter of	came on f	or hearing
18	pursuant to Notice, before the HON	ORABLE JU	DGE ANDREW S
19	GOLLIN, at the National Labor Rela	tions Boa	rd, 819
20	Taylor Street, Fort Worth Texas, o	n Monday,	January 9,
21	2023, at 9:22 a.m., Central.		
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23			
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4	WITNESSES	DIRECT	CROSS	REDIRECT	RECROSS	COURT
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1		EXHIBITS	
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3	<u>EXHIBITS</u>	FOR IDENTIFICATION	IN EVIDENCE
4			
5	GENERAL COUNSEL		
6	1(a-rr)	14	15
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# 1 PROCEEDINGS

- 2 [9:22 a.m. Central]
- JUDGE ANDREW S. GOLLIN: We can go on the record.
- 4 This is a formal hearing before the National Labor
- 5 Relations Board in Starbucks Corporation, Case 16-CA-
- 6 296159, et al.
- 7 The Administrative Law Judge presiding as Andrew S.
- 8 Gollin. G-O-L-L-I-N. I'm assigned to the Washington,
- 9 D.C. Division of Judges.
- 10 Any written Motions, Position Statements, or other
- 11 communications during the hearing should be addressed to
- 12 that office and also should be copied on me directly so
- 13 that I get them more quickly.
- 14 And I'm going to begin by asking the parties to
- 15 please state their appearances for the record, starting
- 16 with the General Counsel.
- 17 MS. MILLER: Maxie Miller for the General Counsel.
- 18 MR. AGUIRRE: Alberto Aguirre, General Counsel.
- 19 JUDGE GOLLIN: All right, and you've got a third.
- 20 MS. MILLER: Not in the room.
- JUDGE GOLLIN: Just...
- 22 MS. MILLER: Rachel Jackson for the General
- 23 Counsel.
- JUDGE GOLLIN: All right, and Charging Party?
- 25 MR. QUINTO-POZOS: Manuel Quinto-Pozos. Would you

- 1 like me to spell that?
- JUDGE GOLLIN: Yes, please.
- 3 MR. QUINTO-POZOS: Last name is hyphenated. Q-U-I-
- 4 N-T-O, hyphen, P-O-Z-O-S. Attorney for the Charging
- 5 Party.
- 6 JUDGE GOLLIN: Okay. Respondent?
- 7 MS. MEYER: Arrissa Meyer for Starbucks
- 8 Corporation.
- 9 MR. RAHHAL: Steve Rahhal. R-A-two H's-A-L. For
- 10 Starbucks Corporation.
- 11 MS. PLOOF: Amanda Ploof for Starbucks Corporation.
- 12 MR. GOLLIN: All right. Thank you all.
- 13 And we've had some discussions off the record as it
- 14 relates to settlements in this case. There are
- 15 documents that will come in as part of the Formal Papers
- 16 involving a proposed Consent Order that has been
- 17 proposed by Respondent and objected to by the General
- 18 Counsel and the Charging Party and we will address that
- 19 on the record after Respondent has had time to make some
- 20 evaluations.
- 21 And at this point, I'm going to request the General
- 22 Counsel offer the Formal Papers into evidence.
- 23 MS. MILLER: Yes, Your Honor. So I offer into
- 24 evidence the Formal Papers in this case. They've been
- 25 marked for identification as General Counsel's Exhibit

- 1 1(a) through 1(mm) with 1(mm) being an index and
- 2 description of the exhibits. This exhibit has been
- 3 shown to the parties and a copy of the index and
- 4 description has been given to the parties.
- 5 (General Counsel's Exhibits 1(a) through 1(mm), marked
- 6 for identification.)
- 7 JUDGE GOLLIN: All right. Any objection to the
- 8 General Counsel's Exhibit 1, Charging Party?
- 9 MR. QUINTO-POZOS: No.
- 10 JUDGE GOLLIN: Respondent?
- 11 MS. MEYER: No objection.
- 12 JUDGE GOLLIN: All right. So General Counsel's
- 13 1(a) through (mm) is received.
- 14 (General Counsel's Exhibits 1(a) through 1(mm), received
- 15 into evidence.)
- 16 It's my understanding, however, that the General
- 17 Counsel will move to amend the Formal Papers to include
- 18 the Motion from Respondent for a Consent Order and the
- 19 General Counsel's opposition, as well as the Charging
- 20 Party's opposition, and the reply from Respondent to
- 21 that opposition so that those can be made part of the
- 22 Formal Papers. I believe that would be the appropriate
- 23 course as the Motions that are seeking an Order from me.
- Is that also your understanding, General Counsel,
- 25 as it relates to your intent to move to amend?

- 1 MS. MILLER: Yes, Your Honor. We are preparing to
- 2 add those in and then amend the formal exhibits at that
- 3 time.
- 4 JUDGE GOLLIN: All right, and I'm assuming Charging
- 5 Party, you have no objection to that?
- 6 MR. QUINTO-POZOS: No, Your Honor.
- JUDGE GOLLIN: Respondent, you have no objection to
- 8 that?
- 9 MS. MEYER: No objection.
- 10 JUDGE GOLLIN: Obviously, make sure that everyone
- 11 is sharing the documents so that we are all clear in
- 12 what they are, but that would seem to me that we would
- 13 have -- I think that would put us at IQQ if I'm right
- 14 with the math, but you'll let me know if I'm wrong.
- 15 All right. We also had a number of subpoenas in
- 16 this case that were issued. I had preliminary
- 17 discussions with the parties last week as it relates to
- 18 those subpoenas and the Petitions to Revoke that were
- 19 filed.
- It is my understanding that the General Counsel's
- 21 subpoena to Respondent for documents, the parties were
- 22 able to largely resolve those issues. However, there
- 23 were two items that were not resolved and my question
- 24 now is to both General Counsel and Respondent as to
- 25 whether or not they would like for me to make a ruling

- 1 on the record as it relates to those and if so, do you
- 2 have copies of the subpoenas and the related oppositions
- 3 to be exhibits for that purpose, General Counsel?
- 4 MS. MILLER: We would like a ruling on the record,
- 5 Your Honor, and we can include those in the Formal
- 6 Papers as well.
- 7 JUDGE GOLLIN: All right. I don't think they would
- 8 be part of the Formal Papers. They don't need to be
- 9 part of the Formal Papers.
- 10 MS. MILLER: Okay.
- 11 JUDGE GOLLIN: But they need to be -- you know,
- 12 they can be Joint Exhibits. They can be GC Exhibits.
- 13 Because this is a situation -- the Respondent's got to
- 14 also weigh in it because there's going to be rulings
- 15 that are adverse to them and my understanding of the
- 16 rules is they've got to decide whether or not they want
- 17 them part of the record.
- 18 If I'm going to make a ruling on the record, which
- 19 I'm going to do, they need to be part of the or they
- 20 need to be part of an exhibit. So you can all figure
- 21 out how you want that to be.
- Respondent, do you have a position? Not
- 23 substantively about the subpoena items, but as far as
- 24 having the underlying documents be marked as exhibits
- 25 because you're seeking an Order from a ruling from me as

- 1 it relates to it.
- 2 MS. MEYER: We can make them Joint Exhibits. We
- 3 have already prenumbered other Joint Exhibits for the
- 4 hearing, but...
- 5 JUDGE GOLLIN: You can always tack them on at the
- 6 end. That's fine with me.
- 7 So yeah. So what I want to figure out is figure
- 8 out what the Joint Exhibits -- whatever numbers they are
- 9 so that I can be referring to them and if we need to
- 10 move forward -- I'm going to wait to give you time to
- 11 get that compiled, figure out the other issues, and I'm
- 12 going to make a ruling as it relates to three and four,
- 13 but I want to have those documents in hand so I can be
- 14 referring to them on the record and everyone is clear
- 15 about what I'm talking about. So we can do that also
- 16 during the break.
- 17 My understanding also is that there were subpoenas
- 18 issued by Respondent to the Charging Party. There were
- 19 issues -- there were subpoenas issued to, by the
- 20 Respondent to the General Counsel. It's my
- 21 understanding that those have been resolved. Charging
- 22 Party and the Respondent have worked out an
- 23 understanding as it relates to what needs to be produced
- 24 regarding the documents that Respondent subpoenaed from
- 25 Mx. Drummond, as well as the Union itself. Is that

- 1 correct?
- 2 MR. QUINTO-POZOS: And as to a number of maybe
- 3 three or four other employees. That is correct.
- 4 JUDGE GOLLIN: Okay. Well, yes. So my point of
- 5 this is is that the parties have resolved the issues
- 6 they have as it relates to those items?
- 7 MR. QUINTO-POZOS: That is the Union's position.
- 8 Yes.
- 9 JUDGE GOLLIN: All right. Respondent?
- 10 MS. MEYER: That is correct.
- 11 JUDGE GOLLIN: Okay. All right. So we're going to
- 12 -- it's my understanding that the documents that were
- 13 produced by Respondent to the General Counsel. There's
- 14 an issue about their being received today. I know that
- 15 there was an effort made this morning to send them.
- 16 There's an issue, so we're going to break to make sure
- 17 that those documents are provided to give the General
- 18 Counsel an opportunity to begin reviewing those to have
- 19 the General Counsel and Respondent work out how they
- 20 want to handle the subpoena issues concerning the duces
- 21 tecum sent by the General Counsel to Respondent and my
- 22 ruling or presenting them to me for a ruling on the
- 23 record and also to give Respondent an opportunity to
- 24 evaluate the settlement position.
- 25 So we are going to break. What I'd like -- I'd

- 1 like a check in in about 20 minutes to 30 minutes to let
- 2 me know where we're at. That's not -- I understand
- 3 Respondent or General Counsel is going to need a little
- 4 bit of time to review the documents, but I want to check
- 5 in as to where we are with these issues to figure out
- 6 how we're going to move forward from that point.
- 7 All right. Anything else that anyone needs to
- 8 raise? General Counsel?
- 9 MS. MILLER: No, Your Honor.
- 10 JUDGE GOLLIN: Charging Party?
- MR. QUINTO-POZOS: No, Your Honor.
- 12 JUDGE GOLLIN: Respondent?
- MS. MILLER: No, Your Honor.
- 14 JUDGE GOLLIN: All right. So let's go off the
- 15 record and you'll check in with me at 11:00. All right.
- 16 Let's go off the record.
- 17 [Off the record]
- 18 JUDGE GOLLIN: All right. We're back on the
- 19 record. We've had a lengthy delay to allow the parties
- 20 to address issues as it relates to subpoena and
- 21 production of documents, as well as for Respondent to
- 22 have an opportunity to confer with the client with
- 23 regards to the terms or revised terms of a potential
- 24 settlement.
- Respondent, it's my understanding that you made

- 1 modifications to the proposed informal settlement
- 2 agreement and have provided those to the General Counsel
- 3 and to the Charging Party; is that correct?
- 4 MS. MEYER: That is correct, Your Honor.
- 5 JUDGE GOLLIN: Okay, and the document has been
- 6 provided to me as well and the Court Reporter and I'm
- 7 going to mark it as ALJ 1.
- 8 (Administrative Law Judge Exhibit 1, marked for
- 9 identification.)
- 10 And while the document -- I'm going to take a step
- 11 back.
- 12 Also, during the break, the General Counsel
- 13 submitted a revised set of Formal Papers, which added
- 14 the, a number of documents related to Respondent's
- 15 Motion for a Consent Order Proving Proposed Settlement
- 16 and that's GC Exhibit 1(mm) and subsequent, there are
- 17 Respondent's Amended Motion for Consent to Order the
- 18 General Counsel's Response and Opposition, the Charging
- 19 Party's Response and Opposition, and then Respondent's
- 20 Reply and Support of its Amended Motion.
- 21 So just for the record to be clear, the Formal
- 22 Papers are now GC Exhibit 1(a) through 1(rr), with 1(rr)
- 23 being the index and description of formal documents. Is
- 24 that correct, General Counsel?
- 25 (General Counsel 1(a) through 1(rr), marked for

### 1 identification.)

- MS. MILLER: Yes, Your Honor.
- 3 JUDGE GOLLIN: All right, and any objection to the
- 4 Revised Formal Papers, Charging Party?
- 5 MR. QUINTO-POZOS: No, Your Honor.
- 6 JUDGE GOLLIN: Respondent, any objection to the
- 7 Revised Formal Papers?
- 8 MS. MEYER: No objection.
- 9 (General Counsel's Exhibits 1(a) through 1(rr) received
- 10 into evidence.)
- 11 JUDGE GOLLIN: Okay. All right, and while ALJ 1
- 12 obviously will speak for itself, Respondent, could you
- 13 highlight for me the revised or the changes made in the
- 14 document, as well as the current state of Respondent's
- 15 position as to why the settlement should be accepted?
- 16 MS. MEYER: Sure.
- 17 So the changes that are included in the revised
- 18 proposal that we've provided include deletion of any
- 19 language referring to the Waiver of Reinstatement. We
- 20 have also updated the backpay and expenses total to
- 21 reflect the discriminatees amount of backpay and
- 22 expenses up through today.
- JUDGE GOLLIN: And that's -- sorry to interrupt
- 24 you.
- 25 That's based on the information you've received

- 1 from the General Counsel?
- 2 MS. MEYER: Based on the information we received
- 3 from the General Counsel.
- 4 JUDGE GOLLIN: All right, and General Counsel, just
- 5 so I'm clear, the backpay figures that you've proposed
- 6 include interest, as well as any damages or expenses
- 7 tied to the Board's decision...
- 8 MS. MILLER: Yes, Your Honor. That's correct.
- 9 JUDGE GOLLIN: Okay.
- 10 MS. MEYER: And then, we have also updated the
- 11 notice that would be posted at the Quincy Street store
- 12 to reflect that Respondent will offer Atticus Drummond
- 13 full reinstatement to their former job. If that job no
- 14 longer exists to a substantially equivalent position
- 15 without prejudice to their seniority or any other rights
- 16 or privileges previously enjoyed.
- 17 JUDGE GOLLIN: All right. Okay, and am I correct
- 18 in understanding the Charging Party, you are not willing
- 19 to enter into the settlement agreement?
- 20 MR. QUINTO-POZOS: That is correct, Your Honor.
- JUDGE GOLLIN: You want to articulate for me your
- 22 position why not?
- 23 MR. QUINTO-POZOS: Your Honor, the Charging Party's
- 24 position echoes what we understand the General Counsel's
- 25 position to be, which is that inserting non-admissions

- 1 language into the settlement agreement, as the current
- 2 form of the settlement agreement does, as well as
- 3 deletion of the proposed default language is against the
- 4 policy of the General Counsel.
- 5 We believe that under the factors of independent
- 6 stave, as already described in the Charging Party's
- 7 opposition, continue to counsel against entry of a
- 8 unilateral settlement.
- 9 JUDGE GOLLIN: Okay.
- 10 MR. QUINTO-POZOS: And I mean, I'd be happy to tell
- 11 you what those are, but they are, I think, adequately
- 12 described in the written opposition.
- 13 JUDGE GOLLIN: Okay. I mean, obviously, your
- 14 written opposition, as well as the General Counsel's
- 15 written opposition and Respondent's Motion for Consent
- 16 articulate the arguments and I certainly don't need
- 17 people to repeat them. They're part of the record.
- 18 I'm simply asking, in light of the revision and so
- 19 my understanding, the key revision from the proposed
- 20 settlement that was previously submitted to me as part
- 21 of the Respondent's motion and presented to the General
- 22 Counsel and the Charging Party. The key change is that
- 23 rather than seeking a Waiver of Reinstatement from Mx.
- 24 Drummond, there is an offer for reinstatement as
- 25 articulated by Respondent's Counsel and based upon that

- 1 revision, what I'm hearing you say is that the Charging
- 2 Party's position remains the same and that because the
- 3 non-admissions language continues to exist and because
- 4 the default language does not contain therein. Your
- 5 position remains the same as why you were not agreeable
- 6 and why you believe that I should not approve it; is
- 7 that correct?
- 8 MR. QUINTO-POZOS: That is correct, Your Honor.
- 9 JUDGE GOLLIN: Okay. General Counsel, is it the
- 10 General Counsel's position and is the General Counsel
- 11 willing to enter into the settlement, which has been
- 12 presented by Respondent and has been marked as ALJ 1?
- MS. MILLER: No, Your Honor. The General Counsel
- 14 is not willing to enter into the settlement. I can
- 15 provide reasons for our objection now or...
- 16 JUDGE GOLLIN: So I guess, just like I said with
- 17 Charging Party, if your position is the same as what
- 18 you've articulated in writing that are now part of the
- 19 Formal Papers, I will take that and certainly,
- 20 obviously, I've reviewed them. If there's anything you
- 21 want to add specifically as it relates to with the
- 22 revision that Respondent has set forth, including the
- 23 offer of reinstatement or anything different that you
- 24 want to note as far as why the General Counsel is not in
- 25 agreement and why the General Counsel believes I should

- 1 not approve this, certainly feel free to raise those.
- MS. MILLER: Yes, Your Honor.
- 3 So despite the change allowing for reinstatement,
- 4 the General Counsel still feels that this proposed
- 5 settlement is not reasonable under independent stave for
- 6 the reasons articulated in the brief and in addition,
- 7 the General Counsel -- first of all, there are several
- 8 significant policy issues that support, including
- 9 default language, two of which are cost savings and the
- 10 efficient administration of remedial relief. In the
- 11 event of breach of the settlement agreement containing
- 12 the default language, it will not be necessary to
- 13 litigate a settled issue and remedial relief will not be
- 14 delayed.
- 15 Further, the default language also provides
- 16 Respondent with an opportunity to cure its breach before
- 17 the Region proceeds, thereby effectuating the act.
- 18 Secondly, beyond providing for cost savings and
- 19 speeding up remedial relief, the default language
- 20 ideally will serve to reduce the chances of a breach in
- 21 the first place. Without the default language in place,
- 22 it is less likely that Respondent will make a diligent
- 23 effort to avoid violating the act in the future, such as
- 24 training managers fairly to respect employees' Section 7
- 25 rights, rather than encouraging them to push beyond the

- 1 limits of Employer free speech in Section 8(c).
- 2 Lastly, Respondent -- General Counsel feels
- 3 Respondent has not provided compelling reason for
- 4 failing to include default language and a settlement
- 5 agreement.
- 6 The NLRB's remedial authority is sometimes deemed
- 7 inadequate and the default language is a necessary step
- 8 to addressing that perceived problem and improving the
- 9 settlement agreement. Approving the settlement
- 10 agreement without the default language will undercut the
- 11 Agency's efforts to ensure that Respondent respects the
- 12 Agency's admission and employee rights.
- 13 Additionally, General Counsel wants to also put
- 14 specific emphasis on Texas Trans Eastern, which the
- 15 Board released a decision on December 8<sup>th</sup> of 2022, which
- 16 emphasized the General Counsel's opposition to the
- 17 settlement being an important consideration weighing
- 18 against accepting settlements. In that case, to
- 19 overturn the Judge's decision to accept a settlement
- 20 without General Counsel's agreement.
- JUDGE GOLLIN: And this was Judge Ringler's
- 22 decision?
- MS. MILLER: Yes, Your Honor.
- JUDGE GOLLIN: Okay, and that was a non-board
- 25 settlement, correct?

- 1 MS. MILLER: That's correct, Your Honor.
- 2 JUDGE GOLLIN: There's not an informal settlement
- 3 that did not provide for a notice provision and
- 4 reinstatement of backpay for the individual involved,
- 5 correct?
- 6 MS. MILLER: That's correct, Your Honor.
- 7 JUDGE GOLLIN: So you note that those are
- 8 differences from the current case, correct?
- 9 MS. MILLER: Yes, Your Honor.
- 10 So the emphasis here would be on the Board's
- 11 emphasis on the General Counsel's opposition to
- 12 settlement being a highly weighed favor of determining
- 13 whether or not approving the settlement agreement is
- 14 appropriate and so for these reasons, the General
- 15 Counsel would object to approval of the settlement
- 16 agreement that lacks the default language, includes non-
- 17 admissions, and, you know, fails to fully take into
- 18 account General Counsel's position on those items.
- 19 JUDGE GOLLIN: Okay, and is it the General
- 20 Counsel's position to, if I approve the settlement, to
- 21 take a Special Appeal of my approval of the settlement?
- 22 MS. MILLER: Yes, Your Honor. That is something
- 23 that the General Counsel would be considering doing.
- JUDGE GOLLIN: And could you provide a timeframe as
- 25 it relates to effectuation of the terms of the

- 1 settlement as to when the General Counsel will make that
- 2 determination?
- 3 MS. MILLER: The General Counsel should have that
- 4 determination by end of day today or early tomorrow. In
- 5 terms of filing the Special Appeal, we plan to do that
- 6 as expeditiously as possible.
- 7 JUDGE GOLLIN: Okay. All right.
- 8 Well, I have reviewed the filings and I'll say
- 9 this. Respondent, based upon the Charging Party and the
- 10 General Counsel's position, which has not changed, I'm
- 11 assuming you maintain your position seeking me to issue
- 12 a Consent Order approving the terms of the settlement
- 13 agreement you've outlined; is that correct?
- MS. MEYER: We do, Your Honor.
- JUDGE GOLLIN: All right. Well, a couple things
- 16 that I would like to point out.
- 17 From the outset, I appreciate the parties' efforts
- 18 at having settlement discussions and trying to resolve
- 19 the settlement. I appreciate the movement that clearly
- 20 appears to have occurred.
- 21 Unfortunately, the movement has not been able to
- 22 result in a bilateral settlement, which is
- 23 disappointing, but I understand that there are policy
- 24 considerations that the parties have and are making, but
- 25 my position and my responsibility is separate and

- 1 distinct from those.
- I will note under the Board's rules and regulations
- 3 that under 101.8, which deals with the issuance of
- 4 Complaints, it states that if a charge appears to have
- 5 merit, the Regional Director will institute formal
- 6 action by issuance of a Complaint and Notice of Hearing
- 7 and 101.10 states the hearing occurs and General Counsel
- 8 has the burden of proving the violations of the Act and
- 9 under 101.11, the Judge issues a Finding of Fact and
- 10 Conclusions of Law regarding those allegations, and
- 11 under 101.12, there are procedures outlined for the
- 12 parties to appeal or take exception of the Judge's
- 13 determination regarding those issues. My point with
- 14 this is the Regional Director and the General Counsel
- 15 have a role and their role is to issue a Complaint based
- 16 upon receipt of charge, in which there appears to be
- 17 merit to claims of unfair labor practices.
- 18 That determination is not a finding of a violation.
- 19 That is distinct and important and I think a fact that
- 20 has been forgotten. There are procedures once
- 21 allegations are made. The allegations in of themselves
- 22 are not findings and are not controlling.
- 23 So you look at the situations and these
- 24 responsibilities and you evaluate whether or not the
- 25 terms that are being articulated are fair under the

- 1 circumstances and as I've stated, I have reviewed the
- 2 filings set forth by the filings and one of the cases
- 3 cited by the parties, particularly the General Counsel
- 4 and the Charging Party is Bodega Latina Corporation,
- 5 doing business as El Super, and it's an unpublished
- 6 Board decision and the citation to it is not to the
- 7 majority order, which determined that the settlement
- 8 proposed by the Respondent, which similar to this case,
- 9 contained a non-admissions clause and did not provide
- 10 for a default language.
- 11 There is a dissent by then Member McFerrin, who is
- 12 now the Chair, citing to those two points as why she did
- 13 not believe that the settlement should've been approved
- 14 and concluding that the ALJ had abused their discretion.
- 15 I would state I've reviewed that decision and note
- 16 there are a number of distinguishing facts as it relates
- 17 to that and that is there have been or there have been
- 18 in that case a number of prior settlements and Consent
- 19 Orders, which are alleged to have been violated by the
- 20 Employer in that case, which was a fact that the dissent
- 21 noted in why she believed the lack of default was so
- 22 egregious under the circumstances.
- 23 Those facts do not appear to exist in this case.
- 24 There has been no assertion raised to me that there was
- 25 a prior settlement agreement that Respondent has entered

- 1 into with this Region, in which they have violated the
- 2 terms. There are no other Board decisions involving
- 3 this Employer and this Region involving the same or
- 4 similar allegations.
- 5 I understand that there are a number of ALJ
- 6 decisions in which the ALJ found violations against
- 7 Respondent, but those findings are pending at the Board
- 8 and therefore are not controlling. They also do not
- 9 involve, as far as I can see, any allegations involving
- 10 this particular Region and the stores at issue.
- 11 So in evaluating Consent Orders, the Board applies
- 12 the following four factors from independent stave and
- 13 that's I-N-D-E-P-E-N-D-E-N-T, S-T-A-V-E, Company 287
- 14 NLRB 740(1987). The factors are whether the Charging
- 15 Party, Respondent, and any individual discriminatees
- 16 have agreed to be bound and the positions taken by the
- 17 General Counsel.
- 18 Two, whether the settlement is reasonable in light
- 19 of the nature of the violations alleged. The risks
- 20 inherent of litigation in the stage of the litigation.
- Three, whether there has been any fraud, coercion,
- 22 or duress by any of the parties in reaching the
- 23 settlement, and four, whether the Respondent is engaged
- 24 in a history of violations of the Act or has breached
- 25 previous settlement agreements resolving unfair labor

- 1 practices.
- 2 In UPMC 365 NLRB No. 153(2017) Decision, the Board
- 3 reviewed these factors and considered and concluded that
- 4 while the position of the General Counsel and the
- 5 Charging Party is important, it is not controlling and
- 6 certainly is not dispositive.
- 7 So in this case, it would be considered to be a
- 8 neutral factor because of the Charging Party and General
- 9 Counsel's position opposing it. The second factor,
- 10 which deals with reasonableness, is and rightly so the
- 11 most important consideration when evaluating a Consent
- 12 Order and I find the proposed resolution here to be
- 13 reasonable in light of the nature of the violations
- 14 alleged and risks of inherent litigation and the stage
- 15 of the litigation.
- We are here on the first day of trial that is
- 17 expected to take the remainder of this week, as well as
- 18 the following week, in three different cities, involving
- 19 a number of employees and a number of managerial
- 20 witnesses.
- 21 There is significant risk inherent in the
- 22 litigation. Certainly, there is the potential that the
- 23 General Counsel could prevail in all the allegations.
- 24 They could prevail in some of the allegations. They
- 25 could prevail in none of the allegations and there is an

- 1 individual who is alleged to have been discriminatorily
- 2 discharged, whose fate would be waiting in the balance
- 3 if the matter were to proceed to litigation.
- 4 The settlement addresses those concerns by
- 5 providing them with an offer of reinstatement with full
- 6 backpay, plus interest, as well as any other damages, at
- 7 a time and a certainty that I think is important.
- 8 The settlement provides for a notice of posting a
- 9 cease and desist, as well as other affirmative actions
- 10 that fully remedy the 81 and 83 violations alleged and I
- 11 find the proposed arrangement reasonable given the risks
- 12 inherent in litigation and the fact that no witnesses
- 13 have yet been called to testify. So I think that the
- 14 second factor weighs heavily in favor of the settlement
- 15 being appropriate.
- The third factor also weighs in favor of approving
- 17 the Consent Order as that there's been no evidence or
- 18 even any argument alleged of fraud, coercion, or duress.
- 19 Finally, as for the fourth factor, I think I've
- 20 already stated. The General Counsel asserts that there
- 21 have been prior findings by Administrative Law Judges
- 22 against Respondent, but there is no Board determination
- 23 and there has been no argument or evidence presented to
- 24 me that Respondent has violated prior settlement
- 25 agreements. So I find that the fourth factor also

1	weighs in favor of approving the Consent Order.
2	So in conclusion, based upon my review of the
3	independent stave factors, the first factor is
4	inconclusive. The second, third, and fourth factors
5	weigh in favor of approval of the Consent Order.
6	Therefore, in light of the totality of the
7	circumstances and specifically considering the factors
8	set forth in independent stave, I will approve the
9	Consent Order pursuant to the Board's rules and
10	regulations, Section 102.26.
11	Any party aggrieved by this ruling may apply for
12	special permission to appeal to the Board. The parties
13	are reminded that any such request of approval must be
14	served upon the other parties and the Administrative Law
15	Judge.
16	I hereby approve the ALJ 1, which is the Proposed
17	Settlement Agreement, which I will characterize as the
18	Consent Order in the cases cited therein.
19	(Administrative Law Judge's 1, received into evidence.)
20	And with nothing further, that is my ruling. We
21	can go off the record.
22	[Whereupon, the hearing was closed at 12:23 p.m.
23	Central 1

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### CERTIFICATION

This is to certify that the attached proceedings before the National Labor Relations Board (NLRB), in the matter of STARBUCKS CORPORATION and WORKER'S UNITED, affiliated with SERVICE EMPLOYEES INTERNATIONAL UNION, Case Nos. 16-CA-296159, 16-CA-296622, 16-CA-297588, 16-CA-297947, 16-CA-302607, and 16-CA-300212, on Monday, 9th day of January, 2023, was held according to the record, and that this is the original, complete, and true and accurate transcript that has been compared to the recording, at the hearing, that the exhibits are complete and no exhibits received in evidence or in the rejected exhibit files are missing.

David Molinaro

David Molinaro, Official Reporter

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